

**GUOTAI JUNAN SECURITIES (MACAU) COMPANY LIMITED**
**HONG KONG MARKET FEES SCHEDULE (Effective from 7, November 2025)**

Items	Charges
<b>(A) TRADE-RELATED SERVICES</b>	
Stamp Duty	0.10% roundup to dollar
Trading Fee and Transaction Levy	0.00565% and 0.0027%
AFRC Transaction Levy	0.00015%
Clearing Fee	0.0042%
CCASS DVP Settlement Charges	0.002%, min HK\$2.00 max HK\$ 100.00
Italian Financial Transactions Tax Italian Financial Transactions Tax (applicable to shares issued by companies resident in Italy)	Daily net purchased shares x weight average purchasing price x 0.10%
Force Buy-In	0.50% and related charge
<b>(B) SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES</b>	
Scrip Fee Chargeable At Book Close Date - Stocks with dividend/right/bonus received	HK\$1.5 per board lot
(“SI”) Scripless Shares Delivery through CCASS	0.002% of the previous market closing price, minimum HK\$2.00 per stock and additional handling fee HK\$500 per stock
Settlement through Investor Participant (ISD)	0.002% of the previous market closing price, minimum HK\$2.00 per stock and additional handling fee HK\$500 per stock
<b>(C) NOMINEE SERVICES AND CORPORATE SERVICES</b>	
Dividend Collection Charge (HK Stocks)	0.12%, Min HK\$2.00, Max: HK\$5,000.00 & HK\$50.00 handling charge per stock
Right Issue Entitlement Charge	HK\$50.00 handling charge per stock
Bonus Issue	HK\$50.00 handling charge per stock
Cash / Special / Open / Condition Offer Charge	HK\$0.8 per lot, scrip Fee HK\$1.50 per lot & HK\$50.00 handling charge per stock
Rights / Warrant exercise	HK\$0.8 per lot and HK\$50.00 handling charge per stock
SPAC Shares Redemption / De-SPAC	HK\$500.00 handling charge per stock
<b>(D) FINANCING AND OTHER SERVICES</b>	
Handling Charge for Unclaimed Entitlement	HK\$1,000.00 + HK\$100.00 / announcement
New Share Application	HK\$50.00
New Share Application with financing facility	HK\$100.00
Local Fund Transfer (HKD, MOP)	HK\$200.00 (Except BOC MACAU)
Local Fund Transfer (USD, EUR & CNY)	HK\$200.00 (Except BOC MACAU)
Remittance	HK\$200.00
Share Transfer by Bought & Sold Note	0.1% of previous market value (min equivalent HKD250.00) per side + stamp duty (if any)
Physical Statement	HK\$100.00 per half year, charge on Jan& Jul
Re-issue of non-current month statement of account	Within 3 months : Free Over 3 months : HK\$100.00 per month
Handling Charge for Assets Verification	HK\$200.00
Margin account financing charge	Fixed Interest Rate: Prime rate plus 1.5% per annum Additional Margin Call Interest Rate: 5% per annum
Cash account overdue interest	Prime rate plus 8% per annum
* The above charges were correct at the time of release but remain subject to change, our company reserves the right to introduce charges not included. For your own interest, please seek confirmation of the prevailing charge related to the service you need at anytime. The prime rate for bank loans is based on the announcements of HSBC Bank Limited.	